## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

n Re the Application of:

**BROKERAGE HOUSE"** 

Washington, D.C. 20231

Group Art Unit:

3628

LaFore, et al.

Examiner:

Bui, T.

Serial No .:

09/497,272

AMENDMENT AND RESPONSE

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES

Filed:

February 3, 2000

Atty. File No.: 7103-1

**Assistant Commissioner for Patents** 

"DATA PROCESSING SYSTEM FOR )

POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO COMMISSIONER OF PATENTS AND TRADEMARKS, WASHINGTON, DC

Dear Sir:

Applicant submits this Amendment and Response to address the mailing date of November 25, 2002 (Paper No. 11). Please credit any overpayment or charge underpayment to Deposit Account No. 19-1970. Although the Applicant believes that no fees are due for filing this Amendment and Response, please charge any fees deemed necessary to Deposit Account No. 19-1970.

Please amend the above-identified patent application as follows:

## IN THE CLAIMS:

Please cancel claims 14 and 12.

## REMARKS/ARGUMENTS

By the foregoing amendment, claims 11 and 12 have been cancelled. Applicant gratefully acknowledges the allowability of claims 1-10 and 13-48. Applicant has made a sincere effort to place this application in a condition for allowance; therefore, such favorable action is earnestly solicited.